

1 FW 2883

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## TRANSMITTAL FORM

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Total Number of Pages in This Submission 3

Application Number	10/597,162
Filing Date	July 13, 2006
First Named Inventor	Zhaolin Lu
Art Unit	2883
Examiner Name	Brian Healy
Attorney Docket No.	UOD-188US

### ENCLOSURES (Check all that apply)

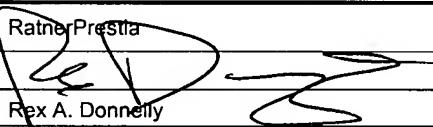
- Fee Transmittal Form
  - Fee Attached
- Amendment/Reply
  - After Final
  - Affidavits/Declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
  - Response to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation, Change of Correspondence Address
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- Landscape Table on CD

- After Allowance Communication to TC
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below): Statement under 37 CFR 3.73(b); Return Receipt Postcard

### Remarks:

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name	RatnerPrestia		
Signature			
Printed Name	Rex A. Donnelly		
Date	October 9, 2007	Registration No.	41,712

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PTO/SB/98 (11-05)

Approved for use through 07/31/2006. OMB 0651-0031

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## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Zhaolin Lu, Dennis W. Prather

Application No./Patent No.: 10/597,162 Filed/Issue Date: July 13, 2006

Entitled: Optical Coupler for Coupling an Optical Fiber into a Waveguide

University of Delaware, a University

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest; OR
2.  an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 018101, Frame 0579, or for which a copy thereof is attached.

OR

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
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Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 36 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

10/5/07

Date

Dr. C.W. Thoroughgood

302-831-4007

Printed or Typed Name

Telephone Number

Vice Provost for Research and Graduate  
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Title

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